

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

10921.328USWO

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

Unknown

10/538240

INTERNATIONAL APPLICATION NO.

PCT/JP2003/015823

INTERNATIONAL FILING DATE

10 December 2003

PRIORITY DATE CLAIMED

10 December 2002

TITLE OF INVENTION

OPTICAL CONDUCTION UNIT AND IMAGE READER USING THE SAME

APPLICANT(S) FOR DO/EO/US

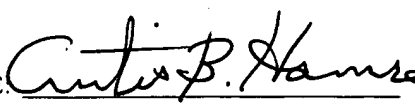
SAWADA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ A signed oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form 1449, 4 references.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: International Publication Page, Verification of Translation, Form PCT Request, Form PCT/ISA/210, Form PCT/IB/304, Form PCT/IB/308, Application Data Sheet, 9 Sheets of Formal Drawings

|  |              |   |            |   |           |
|--|--------------|---|------------|---|-----------|
| U.S. APPLICATION NO. (If known, see 37 CFR 1.51)<br>Unknown <b>10/538240</b>   |              | INTERNATIONAL APPLICATION NO.<br>PCT/JP2003/015823                            |            | ATTORNEY'S DOCKET NUMBER<br>10921.328USWO |           |
| <b>BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):</b>   |              |   |            |   |           |
| [X] a) Basic National fee.....\$300.00   |              |   |            | <b>\$300.00</b>                           |           |
| [X] b) Examination fee.....\$200.00  |              |   |            | <b>\$200.00</b>                           |           |
| [X] c) Search fee.....\$500.00   |              |   |            | <b>\$500.00</b>                           |           |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |   |            | <b>\$1000.00</b>                          |           |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is <b>\$250</b> for each additional 50 sheets of paper or fraction thereof.   |              |   |            |   |           |
| Total Sheets   | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | Rate       |   |           |
| 38   | -100 = 0     | /50 =   | X \$250.00 | <b>\$0.00</b>                             |           |
| Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).   |              |   |            | <b>\$</b>                                 |           |
| CLAIMS   | NUMBER FILED | NUMBER EXTRA  | RATE       |   |           |
| Total claims   | 11           | -20 = 0   | X \$50.00  | <b>\$0.00</b>                             |           |
| Independent claims   | 2            | -3 = 0  | X \$200.00 | <b>\$0.00</b>                             |           |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              |   | + \$360.00 | <b>\$</b>                                 |           |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>   |              |   |            | <b>\$1000.00</b>                          |           |
| Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27   |              |   |            | <b>\$1000.00</b>                          |           |
| <b>SUBTOTAL =</b>  |              |   |            | <b>\$1000.00</b>                          |           |
| Processing fee of <b>\$130.00</b> for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  |              |   |            | + <b>\$</b>                               |           |
| <b>TOTAL NATIONAL FEE =</b>  |              |   |            | <b>\$1000.00</b>                          |           |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |              |   |            | + <b>\$40.00</b>                          |           |
| <b>TOTAL FEES ENCLOSED =</b>   |              |   |            | <b>\$1040.00</b>                          |           |
|  |              |   |            | <b>Amount to be:</b>                      |           |
|  |              |   |            | <b>refunded</b>                           | <b>\$</b> |
|  |              |   |            | <b>charged</b>                            | <b>\$</b> |
|  |              |   |            |   |           |
| <p>a. [X] Check(s) in the amount of <u>\$1000.00 and \$40.00</u> to cover the above fees is enclosed.</p> <p>b. [ ] Please charge the amount of \$ to cover the required filing fee for a large entity to the credit card listed on the enclosed credit card authorization form.</p> <p>c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3478.</p> <p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p> <p>SEND ALL CORRESPONDENCE TO:<br/>         Douglas P. Mueller<br/>         Hamre, Schumann, Mueller &amp; Larson, P.C.<br/>         P.O. Box 2902-0902<br/>         Minneapolis, MN 55402</p> <div style="text-align: right;"> <p>SIGNATURE: </p> <p>NAME: Curtis B. Hamre</p> <p>REGISTRATION NUMBER: 29,165</p> </div> |              |   |            |   |           |

10/538240

JC20 Rec'd PCT/PTO 07 JUN 2005

International Application No.: PCT/JP2003/015823

International Filing Date: December 10, 2003

Assignee: ROHM CO., LTD.

Title of the Invention:

OPTICAL CONDUCTION UNIT AND IMAGE READER USING THE SAME

DECLARATION

I, Tatsuya TANAKA, hereby declare:

that I am a patent attorney belonging to KYOWEY INT'L of 2-32-1301 Tamatsukuri-Motomachi, Tennoji-ku, Osaka, 543-0014 Japan;

that I am well acquainted with both the Japanese and English languages;

that, for entering the national phase of the above-identified international application, I have prepared an English translation of the Japanese specification and claims as originally filed with the Japanese Patent Office (Receiving Office); and

that the said English translation corresponds to the said Japanese specification and claims to the best of my knowledge.

I also declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statements is directed.

Declared at Osaka, Japan on May 25, 2005

By Tatsuya TANAKA



Signature

10/538240

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: SAWADA

JC20 Rec'd PCT/PTO 07 JUN 2005

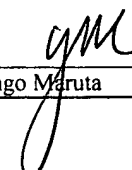
Docket: 10921.328USWO

Title: OPTICAL CONDUCTION UNIT AND IMAGE READER USING THE SAME

## CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: ED874645495US  
Date of Deposit: 7 June 2005

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450.

By:   
Name: Yuhgo Maruta

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

We are transmitting herewith the attached:

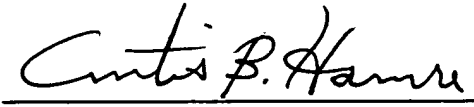
- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- ☒ National Stage PCT Patent Application: Spec. 23 pgs; claims 5 pages; Abstract 1 pgs.  
The fee has been calculated as shown below in the 'Claims as Filed' table.
- ☒ 9 sheets of formal drawings
- ☒ A signed Combined Declaration and Power of Attorney
- ☒ Assignment of the invention to ROHM CO., LTD., Recordation Form Cover Sheet
- ☒ A check in the amount of \$1000.00 to cover the Filing Fee and \$40.00 to cover the Assignment Recording Fee
- ☒ Information Disclosure Statement, Form 1449, 4 reference(s).
- ☒ Application Data Sheet, 3 pages.
- ☒ Other: International Publication Page, Verification of Translation, Form PCT Request, Form PCT/ISA/210, Form PCT/IB/304, Form PCT/IB/308, Form PCTO-1390
- ☒ Return postcard

## CLAIMS AS FILED

| Number of Claims Filed        | No. | In Excess of | Extra | Rate   | Fee       |
|-------------------------------|-----|--------------|-------|--------|-----------|
| Total Claims                  | 11  | 20           | 0     | 50.00  | 0.00      |
| Independent Claims            | 2   | 3            | 0     | 200.00 | 0.00      |
| Multiple Dependent Claims Fee |     |              |       |        | 0.00      |
| Basic Filing Fee              |     |              |       |        | 300.00    |
| Search Fee                    |     |              |       |        | 500.00    |
| Examination Fee               |     |              |       |        | 200.00    |
| Utility Application Size Fee  | 38  | 100          | 0     | 250.00 | 0.00      |
| Total                         |     |              |       |        | \$1000.00 |

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C.  
P.O. Box 2902-0902 Minneapolis, MN 55402  
612.455-3800

By:   
Name: Curtis B. Hamre  
Reg. No.: 29,165  
Initials: DPM/CBH/hjm

52835

PATENT TRADEMARK OFFICE

(PTO TRANSMITTAL - NEW FILING)